



2874
Atty. Docket No. COR20 P407 #4
410-02

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

1/1/2002

Kimberley J. Cousineau

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 2874
Applicant :
Appln. No. : 09/967,315
Filed : September 28, 2001
Confirmation No. : 2874
For : MULTIPLE POLARIZATION COMBINER-SPLITTER-ISOLATOR
AND METHOD OF MANUFACTURING THE SAME

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed more than three months after the filing date of the above-referenced application but, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed document are submitted herewith along with Form PTO-1449. Applicants respectfully request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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Appln. No. : 09/967,315
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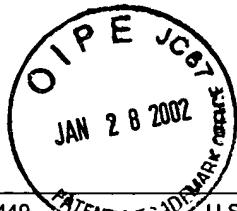
If there is any fee due in connection with the filing of this Statement, please charge the fee to our
Deposit Account No. 16-2463.

Respectfully submitted,

By: Price, Heneveld, Cooper,
DeWitt & Litton

Jan. 7, 2002
Date

Brian C. Down
Brian C. Downs
Registration No. 36 785
695 Kenmoor, S.E./PO Box 2567
Grand Rapids, MI 49501
(616) 949-9610



Sheet 1 of 2

FORM PTO-1449
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
COR20 P407

SERIAL NO.
09/967,315

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

APPLICANT
HELLMAN et al

FILING DATE
September 28, 2002

GROUP
2874

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
828	6 1 7 5 6 6 8	01/16/2001	Borrelli et al.			
	6 1 3 4 3 6 0	10/17/2000	Cheng et al.	DUPLICATE		
828	6 0 3 8 3 5 7	03/14/2000	Pan			
828	6 0 1 4 2 5 6	01/11/2000	Cheng			
828	5 7 4 0 2 8 8	04/14/1998	Pan			
	5 6 9 2 0 8 1	11/25/1997	Takahashi	DUPLICATE		
828	5 4 8 5 2 7 2	01/16/1996	Dirksen et al.			
828	5 4 2 4 8 6 3	06/13/1995	Gertel			
828	5 4 0 0 1 6 4	03/21/1995	Kurtzke et al.			
828	5 3 8 6 3 1 4	01/31/1995	Jopson			
828	4 9 7 3 1 2 4	11/27/1990	Kaede			

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION 280	YES	NO
828	WO 0 1 0 9 6 5 5	02/08/2001						

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

Sarah Y. Long

DATE CONSIDERED

17 Jan 03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])



Sheet 2 of 2

FORM PTO-1449
(Rev. 2-32)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

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COR20 P407

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INFORMATION DISCLOSURE
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APPLICANT
HEILMAN et al

FILING DATE
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
8168	6 1 9 2 1 7 5	02/20/2001	Li et al.			
8168	6 1 7 3 1 0 6	01/09/2001	DeBoynton et al.			
8168	6 1 3 4 3 6 0	10/17/2000	Cheng et al			
8168	6 0 8 4 9 9 4	07/04/2000	Li et al.			
8168	5 9 1 7 6 2 6	06/29/1999	Lee			
8168	5 7 9 9 1 2 1	08/25/1998	Duck et al.			
8168	5 7 6 8 4 5 8	06/16/1998	Ro et al.			
8168	5 7 3 7 1 0 4	04/07/1998	Lee et al.			
8168	5 6 9 2 0 8 1	11/25/1997	Takahashi			
8168	5 6 8 2 4 5 2	10/28/1997	Takahashi			
8168	5 6 7 5 6 8 3	10/07/1997	Takahashi et al.			
8168	5 6 1 9 6 0 5	04/08/1997	Ueda et al.			
8168	5 6 1 5 0 5 2	03/25/1997	Doggett			
8168	5 6 0 4 6 2 9	02/18/1997	Hunter et al.			
8168	5 5 0 2 7 8 1	03/26/1996	Li et al.			

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION 1000
						YES
						NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

8168	✓	Structural Analysis in Microelectronics and Fiber Optics - 1997- (Pages 34-54 of The Electrical and Electronic Packing Division - Vol. 21; The American Society of Mechanical Engineers)
8168	✓	8 pages from www.ma.man.ac.uk/~hewitt/experiments/html
8168	✓	5 pages From Fluent Incorporated Website ("Flow Past a Circular Cylinder")
8168	✓	Publication entitled APPLICATIONS OF GRIN-ROD LENSES IN OPTICAL FIBER COMMUNICATIONS SYSTEMS (Pages 1127 -1136; dated April 1980; Applied Optics Vol. 19, No. 7, 1 April 1980)

EXAMINER	<i>Sarah M. Lang</i>	DATE CONSIDERED <i>17 Jul 03</i>
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])